Securities Trader Interview Questions

1. Provide an experience in which you identified and pursued an effective investment strategy related to the
green economy.
2. Describe your experience buying, selling, and trading carbon emissions permits.
3. Share an experience in which you supervised support staff. How did you ensure proper execution of
contracts?
4. Provide an experience in which you reconciled account-related statements.
5. Share an experience in which you successfully prepared financial reports.
6. Describe your experience auditing accounts and correcting errors.
7. Provide an experience in which you effectively tracked and analyzed factors that affected price movement.
8. Share an effective method you have used to ensure that securities transactions conform to regulations.
9. Provide an experience in which you reported positions and trading results.
10. Share an effective method you have used to monitor markets and positions. Share an experience.