1. Share an experience you had in dealing with a difficult person and how you handled the situation.

2. Tell me how you organize, plan, and prioritize your work.

3. What are some long-range objectives that you developed in your last job? What did you do to achieve them?

4. Share an experience when you applied new technology or information in your job. How did it help your company?

5. Give me an example of when you thought outside of the box. How did it help your employer?

6. Name a time when you identified strengths and weaknesses of alternative solutions to problems. What was the impact?

7. Share an experience in which your attention to detail and thoroughness had an impact on your last company.

8. Share an effective method you have used to determine the prices at which securities should be sydicated and/or offered to the public.

9. Name a time when your advice to management led to an improvement in your company or otherwise helped your employer.

10. Share an experience in which you successfully shared a difficult piece of information. (Make sure that the candidate has open lines of communication.)